

**Form IV A**

**Short Term Inter State Transactions of Electricity by Trading Licensees(RTC)**

| Name of Trading Licensee:   |                          | Mittal Processors Pvt.Ltd.   |                        |                  |                        |                       |          |                |                     |          |                  |                         |                     |                         |
|---|--------------------------|--|------------------------|------------------|------------------------|-----------------------|----------|----------------|---------------------|----------|------------------|-------------------------|---------------------|-------------------------|
| License Details(No & Date):   |                          | 43/Trading/CERC dated 12.02.2009, amended as Cat II license dated 11.03.2013 vide CERC Order dated 11.02.2013/ CERC Petition no. 256/RC/2012 |                        |                  |                        |                       |          |                |                     |          |                  |                         |                     |                         |
| Month:  |                          | November 2018  |                        |                  |                        |                       |          |                |                     |          |                  |                         |                     |                         |
| Sr.No   | Period of Power Delivery |  | Time of Power Delivery |                  | Scheduled Volume (MUs) | Purchased from        |          |                | Sold to             |          |                  | Purchase Price (Rs/KWH) | Sale Price (Rs/KWH) | Trading Margin (Rs/KWH) |
|   | Start date (DD/MM/ YYYY) | End date (DD/MM/ YYYY)   | Start Time (HH:MM)     | End Time (HH:MM) |                        | Name of the Seller    | Category | State          | Name of the Buyer   | Category | State            |                         |                     |                         |
| <b>A Inter State Trading Transactions :</b>   |                          |  |                        |                  |                        |                       |          |                |                     |          |                  |                         |                     |                         |
| 1*  | 15.11.2018               | 30.11.2018   | 0:00                   | 24:00:00         | 2.688                  | Shree Durga Sugar Ltd | CPP      | Madhya Pradesh | Vedanta Ltd         | Industry | Odisha           | 4.10#                   | 4.715               | 0.07                    |
| 2*  | 01.11.2018               | 01.11.2018   | 0:00                   | 24:00:00         | 0.12                   | Mizoram PED           | Utility  | Mizoram        | Noida Power Company | Utility  | Uttar Pradesh    | 3.90*                   | 3.97*               | 0.07                    |
| <b>Total</b>  |                          |  |                        |                  | <b>2.808</b>           |                       |          |                |                     |          |                  |                         |                     |                         |
| <b>B Inter State Trading Transactions through Swapping or Banking Arrangement :</b> |                          |  |                        |                  |                        |                       |          |                |                     |          |                  |                         |                     |                         |
| 1   | 17.11.2018               | 25.11.2018   | 0:00                   | 24:00:00         | 4.32                   | Shree Cement Ltd      | CPP      | Rajasthan      | CSPDCL              | Utility  | Chattisgarh      | -                       | -                   | ≤ 0.04                  |
|   | 26.11.2018               | 30.11.2018   | 0:00                   | 24:00:00         | 2.4                    |                       |          |                |                     |          |                  |                         |                     |                         |
| 2   | 01.11.2018               | 30.11.2018   | 0:00                   | 24:00:00         | 54                     | PSPCL                 | Utility  | Punjab         | APPCC               | Utility  | Andhra pradesh   | -                       | -                   | ≤ 0.04                  |
| 3   | 01.11.2018               | 30.11.2018   | 0:00                   | 24:00:00         | 50.1876                | HPPC                  | Utility  | Haryana        | HPSEB               | Utility  | Himachal pradesh | -                       | -                   | ≤ 0.04                  |
| 4   | 16.11.2018               | 22.11.2018   | 0:00                   | 24:00:00         | 16.8                   | HPPC                  | Utility  | Haryana        | CSPDCL              | Utility  | Chattisgarh      | -                       | -                   | ≤ 0.04                  |
| 5   | 01.11.2018               | 30.11.2018   | 0:00                   | 24:00:00         | 36                     | HPPC                  | Utility  | Haryana        | APPCC               | Utility  | Andhra pradesh   | -                       | -                   | ≤ 0.04                  |
| 6   | 01.11.2018               | 30.11.2018   | 0:00                   | 24:00:00         | 95.2524                | BYPL                  | Utility  | Delhi          | HPSEB               | Utility  | Himachal pradesh | -                       | -                   | ≤ 0.04                  |
| 7   | 17.11.2018               | 25.11.2018   | 0:00                   | 24:00:00         | 6.1676                 | BYPL                  | Utility  | Delhi          | CSPDCL              | Utility  | Chattisgarh      | -                       | -                   | ≤ 0.04                  |
|   | 26.11.2018               | 30.11.2018   | 0:00                   | 24:00:00         | 3                      |                       |          |                |                     |          |                  |                         |                     |                         |
| 8   | 05.11.2018               | 30.11.2018   | 0:00                   | 24:00:00         | 39.48                  | BYPL                  | Utility  | Delhi          | APPCC               | Utility  | Andhra pradesh   | -                       | -                   | ≤ 0.04                  |
| <b>Total</b>  |                          |  |                        |                  | <b>326.8076</b>        |                       |          |                |                     |          |                  |                         |                     |                         |
| <b>C Cross Border Transactions : Nil</b>  |                          |  |                        |                  |                        |                       |          |                |                     |          |                  |                         |                     |                         |
|   |                          |  |                        |                  |                        |                       |          |                |                     |          |                  |                         |                     |                         |

\*RE Power  
#Rate at Generator Periphery  
\$Rate at Eastern Regional Periphery  
^Rate at Mizoram State Periphery



**Form IV C**

**Short Term Inter State Transactions of Electricity by Trading Licensees(other than peak and RTC)**

| Name of Trading Licensee:   |  | Mittal Processors Pvt.Ltd.   |                        |                  |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
|-----------------------------|--|--|------------------------|------------------|------------------------|--------------------|----------|------------------|---------------------|----------|------------------|-------------------------|---------------------|-------------------------|
| License Details(No & Date): |  | 43/Trading/CERC dated 12.02.2009, amended as Cat II license dated 11.03.2013 vide cerc order dated 11.02.2013( Petition no. 256/RC/2012) |                        |                  |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
| Month:                      |  | November'2018  |                        |                  |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
| Sr.No                       | Period of Power Delivery   |  | Time of Power Delivery |                  | Scheduled Volume (MUs) | Purchased from     |          |                  | Sold to             |          |                  | Purchase Price (Rs/KWH) | Sale Price (Rs/KWH) | Trading Margin (Rs/KWH) |
|                             | Start date (DD/MM/ YYYY)   | End date (DD/MM/ YYYY)   | Start Time (HH:MM)     | End Time (HH:MM) |                        | Name of the Seller | Category | State            | Name of the Buyer   | Category | State            |                         |                     |                         |
| <b>A</b>                    | <b>Inter State Trading Transactions:</b>   |  |                        |                  |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
| 1                           | 01.11.2018   | 30.11.2018   | 6:00                   | 18:00:00         | 18.108                 | APPCC              | Utility  | Andhra Pradesh   | BSPHCL              | Utility  | Bihar            | 5.04*                   | 5.11*               | 0.07                    |
| 2                           | 02.11.2018   | 03.11.2018   | 5:00                   | 23:00            | 0.18                   | Mizoram PED        | Utility  | Mizoram          | Noida Power Company | Utility  | Uttar Pradesh    | 3.90^                   | 3.97^               | 0.07                    |
|                             | 05.11.2018   | 05.11.2018   | 8:00                   | 23:00            | 0.075                  | Mizoram PED        | Utility  | Mizoram          | Noida Power Company | Utility  | Uttar Pradesh    | 3.90^                   | 3.97^               | 0.07                    |
|                             | <b>Total</b>   |  |                        |                  | <b>18.363</b>          |                    |          |                  |                     |          |                  |                         |                     |                         |
| <b>B</b>                    | <b>Inter State Trading Transactions through Swapping or Banking Arrangement:</b> |  |                        |                  |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
| 1                           | 06.11.2018   | 06.11.2018   | 0:00                   | 6:00             | 0.3                    | Shree Cement Ltd   | CPP      | Rajasthan        | Gridcco             | Utility  | Odisha           | -                       | -                   | ≤ 0.04                  |
|                             | 07.11.2018   | 09.11.2018   | 0:00                   | 6:00             | 0.9                    |                    |          |                  |                     |          |                  |                         |                     |                         |
| 2                           | 06.11.2018   | 09.11.2018   | 5:00                   | 24:00:00         | 12.2                   | UPCL               | Utility  | Uttarakhand      | Gridco              | Utility  | Odisha           | -                       | -                   | ≤ 0.04                  |
| 3                           | 01.11.2018   | 30.11.2018   | 0:00                   | 6:00             | 4.662                  | APDCL              | Utility  | Assam            | MSPDCL              | Utility  | Manipur          | -                       | -                   | ≤ 0.04                  |
|                             |  |  | 11:00                  | 16:00            |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
| 4                           | 01.11.2018   | 03.11.2018   | 10:00                  | 19:00            | 10.914                 | UPPCL              | Utility  | Uttar Pradesh    | CESC                | Utility  | Calcutta         | -                       | -                   | ≤ 0.04                  |
|                             | 08.11.2018   | 10.11.2018   |                        |                  |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
|                             | 12.11.2018   | 17.11.2018   |                        |                  |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
| 6                           | 13.11.2018   | 13.11.2018   | 6:00                   | 7:00             | 0.175                  | HPSEB              | Utility  | Himachal Pradesh | APPCC               | Utility  | Andhra Pradesh   | -                       | -                   | ≤ 0.04                  |
|                             |  |  | 17:00                  | 18:00            |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
| 7                           | 15.11.2018   | 15.11.2018   | 12:30                  | 14:00            | 0.3                    | APPCC              | Utility  | Andhra Pradesh   | HPPC                | Utility  | Haryana          | -                       | -                   | ≤ 0.04                  |
|                             | 20.11.2018   | 20.11.2018   | 12:30                  | 14:00            | 0.225                  |                    |          |                  |                     |          |                  |                         |                     |                         |
|                             | 27.11.2018   | 27.11.2018   | 8:30                   | 11:00            | 0.5                    |                    |          |                  |                     |          |                  |                         |                     |                         |
| 8                           | 23.11.2018   | 30.11.2018   | 12:00                  | 24:00:00         | 2.4                    | UPCL               | Utility  | Uttarakhand      | MePDCL              | Utility  | Meghalaya        | -                       | -                   | ≤ 0.04                  |
|                             |  |  | 13:15                  | 14:00:00         | 1.225                  |                    |          |                  |                     |          |                  |                         |                     |                         |
| 9                           | 27.11.2018   | 27.11.2018   | 15:00                  | 19:00:00         |                        | 1                  | APPCC    | Utility          | Andhra Pradesh      | HPPC     | Utility          | Haryana                 | -                   | -                       |
|                             |  |  | 8:00                   | 12:00:00         |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
| 10                          | 01.11.2018   | 01.11.2018   | 0:00                   | 18:00:00         | 1.4                    | BYPL               | Utility  | Delhi            | APPCC               | Utility  | Andhra Pradesh   | -                       | -                   | ≤ 0.04                  |
|                             |  |  | 22:00                  | 24:00:00         |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
| 11                          | 14.11.2018   | 14.11.2018   | 0:00                   | 5:00:00          | 2                      | UPCL               | Utility  | Uttarakhand      | Gridco              | Utility  | Odisha           | -                       | -                   | ≤ 0.04                  |
|                             |  |  | 6:00                   | 8:00:00          |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
|                             |  |  | 15:00                  | 24:00:00         |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
|                             | 15.11.2018   | 15.11.2018   | 0:00                   | 9:00:00          | 2.38                   |                    |          |                  |                     |          |                  |                         |                     |                         |
|                             |  |  | 13:00                  | 24:00:00         |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
|                             | 17.11.2018   | 17.11.2018   | 6:00                   | 8:00:00          | 0.9                    |                    |          |                  |                     |          |                  |                         |                     |                         |
|                             |  |  | 16:00                  | 17:00:00         |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
| 17:00                       |  |  | 22:00:00               |                  |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
| 18.11.2018                  | 18.11.2018   | 6:00   | 9:00:00                | 0.925            |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
|                             |  | 16:00  | 22:00:00               |                  |                        |                    |          |                  |                     |          |                  |                         |                     |                         |
| 12                          | 13.11.2018   | 13.11.2018   | 8:00                   | 13:00:00         | 0.175                  | APPCC              | Utility  | Andhra Pradesh   | HPSEB               | Utility  | Himachal Pradesh | -                       | -                   | ≤ 0.04                  |
|                             | <b>Total</b>   |  |                        |                  | <b>42.581</b>          |                    |          |                  |                     |          |                  |                         |                     |                         |
| <b>C</b>                    | <b>Cross Border Transactions : Nil</b>   |  |                        |                  |                        |                    |          |                  |                     |          |                  |                         |                     |                         |

\* Rate at Bihar State Periphery  
^Rate at Mizoram State Periphery



## Form IV-E

### Intra-State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: Mittal Processors (P) Ltd.

Month: November'2018

| Sr.No                      | Total Transacted Volume (MUs) | State       |
|----------------------------|-------------------------------|-------------|
| Short Term Transaction:    |                               |             |
| 1                          | 4.003950                      | Maharashtra |
| Long Term Transaction: NIL |                               |             |
|                            |                               |             |

## Form IV-F

### Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

| Name of Trading Licensee: |                                  | Mittal Processors Pvt.Ltd.                   |                               |           |                               |                  |
|---------------------------|----------------------------------|--|-------------------------------|-----------|-------------------------------|------------------|
| Month                     |                                  | November'2018                                |                               |           |                               |                  |
| Sr.No                     | Date of Delivery<br>(DD/MM/YYYY) | Total Scheduled Volume (MUs) for each client | Purchased from                |           | Sold to                       |                  |
|                           |                                  |  | Name of Seller/<br>Name of PX | State     | Name of Buyer /<br>Name of PX | State            |
| 1                         | November'18                      | 2.1856025                                    | IEX                           | New Delhi | Open Access Consumer          | Andhra Pradesh   |
| 2                         | November'18                      | 0.0432                                       | IEX                           | New Delhi | Open Access Consumer          | Gujarat          |
| 3                         | November'18                      | 0.61555                                      | IEX                           | New Delhi | Open Access Consumer          | Uttarakhand      |
| 4                         | November'18                      | 0.0728                                       | IEX                           | New Delhi | Open Access Consumer          | Uttarakhand      |
| 5                         | November'18                      | 0.0592                                       | IEX                           | New Delhi | Open Access Consumer          | Himachal Pradesh |
| 6                         | November'18                      | 0.264  | IEX                           | New Delhi | Open Access Consumer          | Himachal Pradesh |
| 7                         | November'18                      | 1.2096                                       | IEX                           | New Delhi | Open Access Consumer          | Gujarat          |
| 8                         | November'18                      | 0.1008                                       | IEX                           | New Delhi | Open Access Consumer          | Gujarat          |
| 9                         | November'18                      | 0.1728                                       | IEX                           | New Delhi | Open Access Consumer          | Gujarat          |
| 10                        | November'18                      | 0.1728                                       | IEX                           | New Delhi | Open Access Consumer          | Gujarat          |
| 11                        | November'18                      | 0.5326                                       | IEX                           | New Delhi | Open Access Consumer          | Uttarakhand      |
| 12                        | November'18                      | 0.595425                                     | IEX                           | New Delhi | Open Access Consumer          | Karnataka        |
| 13                        | November'18                      | 0.268445                                     | IEX                           | New Delhi | Open Access Consumer          | Karnataka        |
| 14                        | November'18                      | 0.25599                                      | IEX                           | New Delhi | Open Access Consumer          | Karnataka        |
| 15                        | November'18                      | 0.2865                                       | IEX                           | New Delhi | Open Access Consumer          | Uttarakhand      |
| 16                        | November'18                      | 0.264  | IEX                           | New Delhi | Open Access Consumer          | Gujarat          |
| 17                        | November'18                      | 0.415425                                     | IEX                           | New Delhi | Open Access Consumer          | Rajasthan        |
| 18                        | November'18                      | 3.5207625                                    | IEX                           | New Delhi | Open Access Consumer          | Assam            |
| 19                        | November'18                      | 0.123875                                     | IEX                           | New Delhi | Open Access Consumer          | Karnataka        |
| 21                        | November'18                      | 0.3262175                                    | IEX                           | New Delhi | Open Access Consumer          | Himachal Pradesh |
| 23                        | November'18                      | 0.7622525                                    | IEX                           | New Delhi | Open Access Consumer          | Haryana          |
| 24                        | November'18                      | 0.312  | IEX                           | New Delhi | Open Access Consumer          | Gujarat          |
| 25                        | November'18                      | 0.141  | IEX                           | New Delhi | Open Access Consumer          | Uttarakhand      |
| 26                        | November'18                      | 0.367  | IEX                           | New Delhi | Open Access Consumer          | Haryana          |
| 27                        | November'18                      | 0.0176                                       | IEX                           | New Delhi | Open Access Consumer          | Haryana          |

|    |             |           |     |           |                      |                |
|----|-------------|-----------|-----|-----------|----------------------|----------------|
| 30 | November'18 | 0.0441325 | IEX | New Delhi | Open Access Consumer | Andhra Pradesh |
| 32 | November'18 | 0.5172    | IEX | New Delhi | Open Access Consumer | Uttarakhand    |
| 33 | November'18 | 2.0088    | IEX | New Delhi | Open Access Consumer | Haryana        |
| 35 | November'18 | 7.64755   | IEX | New Delhi | Open Access Consumer | Karnataka      |
| 36 | November'18 | 0.99924   | IEX | New Delhi | Open Access Consumer | Assam          |
| 37 | November'18 | 0.0665    | IEX | New Delhi | Open Access Consumer | Kerala         |
| 38 | November'18 | 1.120395  | IEX | New Delhi | Open Access Consumer | Rajasthan      |
| 39 | November'18 | 0.16      | IEX | New Delhi | Open Access Consumer | Uttarakhand    |
| 40 | November'18 | 0.0384    | IEX | New Delhi | Open Access Consumer | Gujarat        |
| 41 | November'18 | 0.02      | IEX | New Delhi | Open Access Consumer | Madhya Pradesh |
| 43 | November'18 | 0.695335  | IEX | New Delhi | State Utility        | Manipur        |
| 45 | November'18 | 0.22375   | IEX | New Delhi | Open Access Consumer | Uttarakhand    |
| 46 | November'18 | 1.44      | IEX | New Delhi | Open Access Consumer | Gujarat        |
| 48 | November'18 | 5.47045   | IEX | New Delhi | Open Access Consumer | Madhya Pradesh |
| 49 | November'18 | 12.49785  | IEX | New Delhi | Open Access Consumer | Madhya Pradesh |
| 50 | November'18 | 0.668     | IEX | New Delhi | Open Access Consumer | Haryana        |
| 52 | November'18 | 0.0877    | IEX | New Delhi | Open Access Consumer | Haryana        |
| 53 | November'18 | 0.18185   | IEX | New Delhi | Open Access Consumer | Uttarakhand    |
| 55 | November'18 | 0.2818775 | IEX | New Delhi | Open Access Consumer | Rajasthan      |
| 56 | November'18 | 0.1035025 | IEX | New Delhi | Open Access Consumer | Rajasthan      |
| 57 | November'18 | 0.21775   | IEX | New Delhi | Open Access Consumer | Uttarakhand    |
| 58 | November'18 | 0.48099   | IEX | New Delhi | Open Access Consumer | Rajasthan      |
| 59 | November'18 | 0.1759    | IEX | New Delhi | Open Access Consumer | Uttarakhand    |
| 60 | November'18 | 0.1104    | IEX | New Delhi | Open Access Consumer | Karnataka      |
| 63 | November'18 | 1.102825  | IEX | New Delhi | Open Access Consumer | Haryana        |
| 64 | November'18 | 0.196245  | IEX | New Delhi | Open Access Consumer | Karnataka      |
| 65 | November'18 | 0.112815  | IEX | New Delhi | Open Access Consumer | Karnataka      |
| 66 | November'18 | 0.09031   | IEX | New Delhi | Open Access Consumer | Karnataka      |
| 67 | November'18 | 0.0552    | IEX | New Delhi | Open Access Consumer | Gujarat        |
| 71 | November'18 | 0.0793475 | IEX | New Delhi | Open Access Consumer | Rajasthan      |
| 72 | November'18 | 0.182     | IEX | New Delhi | Open Access Consumer | Karnataka      |

|    |             |            |                    |                |     |           |
|----|-------------|------------|--------------------|----------------|-----|-----------|
| 22 | November'18 | 0.7121     | Open Access Seller | Rajasthan      | IEX | New Delhi |
| 28 | November'18 | 0.086      | Open Access Seller | Odisha         | IEX | New Delhi |
| 29 | November'18 | 0.8064     | Open Access Seller | Maharashtra    | IEX | New Delhi |
| 31 | November'18 | 15.876485  | State Utility      | Haryana        | IEX | New Delhi |
| 34 | November'18 | 3.3033     | Open Access Seller | Karnataka      | IEX | New Delhi |
| 42 | November'18 | 0.3504     | Open Access Seller | Andhra Pradesh | IEX | New Delhi |
| 44 | November'18 | 4.11       | State Utility      | Meghalaya      | IEX | New Delhi |
| 47 | November'18 | 1.35185    | Open Access Seller | Rajasthan      | IEX | New Delhi |
| 51 | November'18 | 26         | State Utility      | Punjab         | IEX | New Delhi |
| 54 | November'18 | 0.563075   | Open Access Seller | Madhya Pradesh | IEX | New Delhi |
| 61 | November'18 | 8.0975     | Open Access Seller | Andhra Pradesh | IEX | New Delhi |
| 62 | November'18 | 0.3        | Open Access Seller | Gujarat        | IEX | New Delhi |
| 68 | November'18 | 1.5769     | Open Access Seller | Maharashtra    | IEX | New Delhi |
| 69 | November'18 | 54.6197875 | State Utility      | Uttarakhand    | IEX | New Delhi |
| 70 | November'18 | 2.773      | Open Access Seller | Gujarat        | IEX | New Delhi |
| 20 | November'18 | 0.1658     | Open Access Seller | Maharashtra    | IEX | New Delhi |
| 73 | November'18 | 0.182      | Open Access Seller | Gujarat        | IEX | New Delhi |



**Form IV-G**

**Term Ahead Power Exchange Transactions of Electricity by Trading Licensees**

|                                  |                                   |
|----------------------------------|-----------------------------------|
| <b>Name of Trading Licensee:</b> | <b>Mittal Processors Pvt.Ltd.</b> |
| <b>Month:</b>                    | <b>November 2018</b>              |

| Sr.No    | Name of Power Exchange (IEX/ PXIL)       | Period of Power Delivery |                        | Time of Power Delivery |                  | Scheduled Volume (MUs) | Purchased from                     |         | Sold to                          |           | Transaction Price (Rs./Kwh) | Trading Margin (Rs./kwh) |
|----------|--|--------------------------|------------------------|------------------------|------------------|------------------------|------------------------------------|---------|----------------------------------|-----------|-----------------------------|--------------------------|
|          |  | Start date (DD/MM/ YYYY) | End date (DD/MM/ YYYY) | Start Time (HH:MM)     | End Time (HH:MM) |                        | Name of Seller                     | State   | Name of Buyer                    | State     |                             |                          |
| <b>A</b> | <b>Intra-Day Contracts :</b>             |                          |                        |                        |                  |                        |                                    |         |                                  |           |                             |                          |
| 1        | PXIL                                     | 06.11.2018               | 06.11.2018             | 15:00                  | 22:00:00         | 0.091                  | Dikchu_Hydro_Electric_Project      | Sikkim  | Kirloskar Ferrous Industries Ltd | Karnataka | 3.90                        | ≤ 0.03                   |
| 2        | PXIL                                     | 06.11.2018               | 06.11.2018             | 15:00                  | 22:00:00         | 0.091                  | Dikchu_Hydro_Electric_Project      | Sikkim  | Kirloskar Ferrous Industries Ltd | Karnataka | 3.90                        | ≤ 0.03                   |
|          | <b>Total</b>                             |                          |                        |                        |                  | <b>0.182</b>           |                                    |         |                                  |           |                             |                          |
| <b>B</b> | <b>Day-Ahead Contingency Contracts :</b> |                          |                        |                        |                  |                        |                                    |         |                                  |           |                             |                          |
| 1        | PXIL                                     | 13.11.2018               | 13.11.2018             | 0:00                   | 6:00:00          | 0.6                    | Punjab State Power Corporation Ltd | Punjab  | Vedanta Ltd                      | Odisha    | 4.05                        | ≤ 0.03                   |
| 3        | PXIL                                     | 25.11.2018               | 25.11.2018             | 6:00                   | 10:00:00         | 0.026                  | Adani Power Limited Stage -3       | Gujarat | Kirloskar Ferrous Industries Ltd | Karnataka | 4.50                        | ≤ 0.03                   |
|          |  |                          |                        | 18:00                  | 19:00:00         | 0.0037                 |                                    |         |                                  |           |                             |                          |
|          |  |                          |                        | 19:00                  | 22:00:00         | 0.0195                 |                                    |         |                                  |           |                             |                          |
| 4        | PXIL                                     | 25.11.2018               | 25.11.2018             | 6:00                   | 10:00:00         | 0.026                  | Adani Power Limited Stage -3       | Gujarat | Kirloskar Ferrous Industries Ltd | Karnataka | 4.50                        | ≤ 0.03                   |
|          |  |                          |                        | 18:00                  | 19:00:00         | 0.0037                 |                                    |         |                                  |           |                             |                          |
|          |  |                          |                        | 19:00                  | 22:00:00         | 0.0195                 |                                    |         |                                  |           |                             |                          |
| 5        | PXIL                                     | 28.11.2018               | 28.11.2018             | 6:00                   | 10:00:00         | 0.05                   | Adani Power Limited Stage -3       | Gujarat | Kirloskar Ferrous Industries Ltd | Karnataka | 4.50                        | ≤ 0.03                   |
|          |  |                          |                        | 19:00                  | 22:00:00         | 0.0375                 |                                    |         |                                  |           |                             |                          |
|          |  |                          |                        | 6:00                   | 10:00:00         | 0.05                   |                                    |         |                                  |           |                             |                          |
| 6        | PXIL                                     | 28.11.2018               | 28.11.2018             | 6:00                   | 10:00:00         | 0.05                   | Adani Power Limited Stage -3       | Gujarat | Kirloskar Ferrous Industries Ltd | Karnataka | 4.50                        | ≤ 0.03                   |
|          |  |                          |                        | 19:00                  | 22:00:00         | 0.0375                 |                                    |         |                                  |           |                             |                          |
|          |  |                          |                        | 6:00                   | 10:00:00         | 0.05                   |                                    |         |                                  |           |                             |                          |
| 7        | PXIL                                     | 29.11.2018               | 29.11.2018             | 6:00                   | 10:00:00         | 0.05                   | Adani Power Limited Stage -3       | Gujarat | Kirloskar Ferrous Industries Ltd | Karnataka | 4.50                        | ≤ 0.03                   |
|          |  |                          |                        | 19:00                  | 22:00:00         | 0.0375                 |                                    |         |                                  |           |                             |                          |
|          |  |                          |                        | 6:00                   | 10:00:00         | 0.05                   |                                    |         |                                  |           |                             |                          |
| 8        | PXIL                                     | 29.11.2018               | 29.11.2018             | 6:00                   | 10:00:00         | 0.05                   | Adani Power Limited Stage -3       | Gujarat | Kirloskar Ferrous Industries Ltd | Karnataka | 4.50                        | ≤ 0.03                   |
|          |  |                          |                        | 19:00                  | 22:00:00         | 0.0375                 |                                    |         |                                  |           |                             |                          |
|          |  |                          |                        | 6:00                   | 10:00:00         | 0.05                   |                                    |         |                                  |           |                             |                          |
| 9        | PXIL                                     | 30.11.2018               | 30.11.2018             | 6:00                   | 10:00:00         | 0.05                   | Adani Power Limited Stage -3       | Gujarat | Kirloskar Ferrous Industries Ltd | Karnataka | 4.50                        | ≤ 0.03                   |
|          |  |                          |                        | 19:00                  | 22:00:00         | 0.0375                 |                                    |         |                                  |           |                             |                          |
|          |  |                          |                        | 6:00                   | 10:00:00         | 0.05                   |                                    |         |                                  |           |                             |                          |
| 10       | PXIL                                     | 30.11.2018               | 30.11.2018             | 6:00                   | 10:00:00         | 0.05                   | Adani Power Limited Stage -3       | Gujarat | Kirloskar Ferrous Industries Ltd | Karnataka | 4.50                        | ≤ 0.03                   |
|          |  |                          |                        | 19:00                  | 22:00:00         | 0.0375                 |                                    |         |                                  |           |                             |                          |
|          |  |                          |                        |                        | <b>Total</b>     |                        |                                    |         |                                  |           |                             |                          |
| <b>C</b> | <b>Daily Contracts :Nil</b>              |                          |                        |                        |                  |                        |                                    |         |                                  |           |                             |                          |
| <b>D</b> | <b>Weekly Contracts :</b>                |                          |                        |                        |                  |                        |                                    |         |                                  |           |                             |                          |
|          | <b>Total</b>                             |                          |                        |                        |                  |                        |                                    |         |                                  |           |                             |                          |

| Form IV-H   |   |   |                        |               |                                |                         |
|---|---|---|------------------------|---------------|--------------------------------|-------------------------|
| Renewable Energy Certificates(REC) Trading on Power Exchange by Trading Licensees |   |   |                        |               |                                |                         |
| Name of the Trading Licensee: Mittal Processor Pvt. Ltd.                          |   |   |                        |               |                                |                         |
| Date of Trading: 28/11/2018   |   |   |                        |               |                                |                         |
| Sr. No.   | Name of REC Client                          | Client Type (Renewable generator/ Obligated Entities) | Name of Power Exchange | Volume (RECs) | Market Clearing Price (Rs/REC) | Trading Margin (Rs/KWh) |
| <b>Non Solar RECs</b>   |   |   |                        |               |                                |                         |
| 1   | Asahi India Glass Ltd.                      | Obligated Entity                                      | IEX                    | 65            | 1252                           | 0.01                    |
| 2   | SRF Limited                                 | Obligated Entity                                      | IEX                    | 49            | 1252                           | 0.015                   |
| 3   | USAKA HYDRO POWERS PRIVATE LIMITED          | Renewable generator                                   | IEX                    | 1158          | 1252                           | 0.01                    |
| 4   | PROCTER & GAMBLE HOME PRODUCTS PRIVATE LTD( | Obligated Entity                                      | IEX                    | 12            | 1252                           | 0.02                    |
| 5   | B.R. METALLICS                              | Obligated Entity                                      | IEX                    | 184           | 1252                           | 0.01                    |
| 6   | K D IRON & STEEL COMPANY                    | Obligated Entity                                      | IEX                    | 51            | 1252                           | 0.01                    |
| 7   | MARIS SPINNERLTD UNIT-II                    | Obligated Entity                                      | IEX                    | 118           | 1252                           | 0.0025                  |
| 8   | SOLVAY SPECIALITIES INDIA PVT. LTD.         | Obligated Entity                                      | IEX                    | 1000          | 1252                           | 0.02                    |
| 9   | A INFRASTRUCTURE LIMITED                    | Obligated Entity                                      | IEX                    | 30            | 1252                           | 0.01                    |
| 10  | KRISHNA VALLEY POWER PVT LTD                | Renewable generator                                   | IEX                    | 608           | 1252                           | 0.002                   |
| 11  | SAHYADRI RENEWABLE ENERGY PVT LTD           | Renewable generator                                   | IEX                    | 405           | 1252                           | 0.002                   |
| 12  | SUNSTONE ENGINEERING INDUSTRIES PVT. LTD    | Renewable generator                                   | PXIL                   | 288           | 1260                           | 0                       |
| <b>Total</b>  |   |   |                        | <b>3968</b>   |                                |                         |
| <b>Solar RECs</b>   |   |   |                        |               |                                |                         |
| 1   | SRF Limited                                 | Obligated Entity                                      | IEX                    | 32            | 1101                           | 0.035                   |
| 2   | B.R. METALLICS                              | Obligated Entity                                      | IEX                    | 183           | 1101                           | 0.01                    |
| 3   | K D IRON & STEEL COMPANY                    | Obligated Entity                                      | IEX                    | 46            | 1101                           | 0.01                    |
| 4   | SOLVAY SPECIALITIES INDIA PVT. LTD.         | Obligated Entity                                      | IEX                    | 1500          | 1101                           | 0.02                    |
| 5   | RIDHU SOLAR                                 | Renewable generator                                   | PXIL                   | 141           | 1051                           | 0.002                   |
| 6   | FLUIDCON ENGINEERS                          | Renewable generator                                   | PXIL                   | 126           | 1051                           | 0.0025                  |
| <b>Total</b>  |   |   |                        | <b>2028</b>   |                                |                         |

| <b>List of Abbreviations</b> |          |   |                  |
|------------------------------|----------|---|------------------|
| <b>S. No.</b>                |          | <b>Full Name</b>  | <b>State</b>     |
| 1                            | HPSEB    | Himachal Pradesh State Electricity Board                | Himachal Pradesh |
| 2                            | NPCL     | Noida Power Corporation Ltd.                            | Uttar Pradesh    |
| 3                            | MePDCL   | Meghalaya Power Distribution Corporation Ltd.           | Meghalaya        |
| 4                            | APPCC    | Andhra Power Purchase Cordination committe              | Andhra Pradesh   |
| 5                            | MPPMCL   | Madhya Pradesh Power Management Company Ltd.            | Madhya Pradesh   |
| 6                            | PSPCL    | Punjab State Power Corporation Ltd.                     | Punjab           |
| 7                            | BRPL     | BSES Rajdhani Power Corporation Ltd                     | Delhi            |
| 8                            | BYPL     | BSES Yamuna Power Ltd.                                  | Delhi            |
| 9                            | MSPDCL   | Manipur State Power Distribution Company Ltd.           | Manipur          |
| 10                           | CESCL    | Calcutta Electicity Supply Company Ltd.                 | West bengal      |
| 11                           | JSEB     | Jharkhand State Electricity Board                       | Jharkhand        |
| 12                           | CSPDCL   | Chhattisgarh State Power Distribution Company Ltd.      | Chhattisgarh     |
| 13                           | JBVNL    | Jharkhan Bijli Vitran Nigam Ltd.                        | Jharkhand        |
| 14                           | HPPC     | Haryana Power Purchase Centre                           | Haryana          |
| 15                           | TPDDL    | Tata Power Delhi Distribution Ltd.                      | Delhi            |
| 16                           | WBSEDCL  | West Bengal State Electricity Distribution Company Ltd. | West bengal      |
| 17                           | TANGEDCO | Tamil Nadu Generation and Distribution Company Ltd      | Tamil Nadu       |
| 18                           | SIPL     | Soktas India Pvt.ltd.                                   | Maharashtra      |
| 19                           | UPCL     | Uttarakhand Power Corporation Ltd.                      | Uttarakhand      |
| 20                           | BACLO    | Bharat Aluminium Company limited                        | Chhattisgarh     |
| 21                           | UPPCL    | Uttar Pradesh Power Corporation Ltd.                    | Uttar Pradesh    |
| 22                           | APDCL    | Assam Power Distrbution Company Ltd.                    | Assam            |
| 23                           | APSPDCL  | Andhra Pradesh state Power Distibution Company Ltd.     | Andhra Pradesh   |
| 24                           | TSPSCL   | Telangana state Power Distibution Company Ltd.          | Telangana        |